Common Application Form

L&T Financial Services
Mutual Fund

App. No.

Time Stamp

Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
ARN-11310			E-156922	

Transaction Charges: SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-.

If this is the first time, you are investing in any mutual fund, please tick here ☐

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

Sole/1st Applicant | 2nd Applicant | 3rd Applicant

1. EXISTING UNIT HOLDER'S INFORMATION (If you hold a Folio with L&T Mutual Fund, please furnish the below information and move to Investment & Payment Information section.)

Name of Sole/1st Unit Holder ☐ Mr. ☐ Ms. ☐ M/s First Name Middle Name Last Name Folio No.

PAN/PEKRN# KIN^ Date of Birth^ D D M M Y Y Y Y

Mobile No. +91- E-mail Id

Applicable for Non-Indivuduals

Legal Entity Identifier (LEI) Number ^ Legal Entity Identifier (LEI) Expiry Date D D M M Y Y Y Y

^Legal Entity Identifier (LEI) is applicable for both Inward (Subscriptions) & Outward (Redemptions /IDCWs) remittance of Rs 50 Crores and above from Non Individuals

2. NEW APPLICANT(S) PERSONAL INFORMATION

Name of 1st/Sole Applicant ☐ Mr. ☐ Ms. ☐ M/s First Name Middle Name Last Name

PAN/PEKRN# KIN^ Date of Birth^ D D M M Y Y Y Y (Mandatory if first applicant is a minor)

Mobile No. +91- E-mail Id

Applicable for Non-Indivuduals

Legal Entity Identifier (LEI) Number ^ Legal Entity Identifier (LEI) Expiry Date D D M M Y Y Y Y

^Legal Entity Identifier (LEI) is applicable for both Inward (Subscriptions) & Outward (Redemptions /IDCWs) remittance of Rs 50 Crores and above from Non Individuals

Guardian (For Minor Investments) / Contact Person (For Non-Individuals)

Name ☐ Mr. ☐ Ms. ☐ M/s First Name Middle Name Last Name

PAN/PEKRN# KIN^ Date of Birth^ D D M M Y Y Y Y (Mandatory if first applicant is a minor)

Mobile No. +91- E-mail Id

Relationship with Minor Applicant
- Natural Guardian
- Court Appointment Guardian

Proof of Date of Birth
- Birth Certificate Copy
- Passport Copy
- Others (please specify)

Proof of the Relationship with minor
- Birth Certificate Copy
- Passport Copy
- Court Appointment Order
- Others (please specify)

3. DETAILS OF OTHER APPLICANT(S) (Please note that where the sole/1st applicant is a minor, no joint holders are allowed)

Name of 2nd Applicant ☐ Mr. ☐ Ms. ☐ M/s First Name Middle Name Last Name

PAN/PEKRN# KIN^ Date of Birth^ D D M M Y Y Y Y (Mandatory if first applicant is a minor)

Mobile No. +91- E-mail Id

Name of 3rd Applicant ☐ Mr. ☐ Ms. ☐ M/s First Name Middle Name Last Name

PAN/PEKRN# KIN^ Date of Birth^ D D M M Y Y Y Y (Mandatory if first applicant is a minor)

Mobile No. +91- E-mail Id

*Investors providing e-mail id will receive Account Statements, Annual Report & other communication over e-mail. If you however wish to receive this communication in your registered postal address, please tick here ☐

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. ***#PEKRN required for Micro investments upto Rs. 50,000 in a year.***

^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

L&T Financial Services
Mutual Fund

Received from ______ an application for investment in Scheme L&T ______ Option ______

App. No.

Investment Type (✓) ○ Lumpsum ○ SIP ○ Micro SIP ○ Multi-Scheme SIP ○ Multi-Scheme Lumpsum

Investment Cheque Details : Instrument number ______ Rs. ______ Dated D D M M Y Y Y Y

Drawn on Bank ______ Branch ______ City ______

For Office Use Only

Acknowledgement Stamp & Date

4. Address (Address as per KRA records will overwrite this address if you are KYC compliant)

Correspondence Address ______

City/Town ______ Pin ______ State ______ Country ______

Overseas Address (Mandatory for NRIs/PIOs) ______

City/Town ______ Pin ______ State ______ Country ______

Tel (R) (ISD) (STD) ______ Tel (O) (ISD) (STD) ______ Fax (ISD) (STD) ______

5. Tax status of Sole/First Applicant (Please ✓)

Resident Indian Individual	Sole Proprietorship	Trust	Superannuation Fund
Non Resident Indian Individual (NRI) – Repatriable	Partnership Firm	Limited Liability Partnership (LLP)	Gratuity Fund
Non Resident Indian Individual (NRI) –Non Repatriable	Public Ltd. Co.	Financial Institutions	Overseas Corporate Body
Minor (Resident Indian)	Private Ltd. Co.	Foreign Portfolio Investor (FPI)	Non Govt. Organization (NGO)
Minor (NRI - Repatriable)	Body Corporate	Foreign Institutional Investor (FII)	Association of Persons(AOP)/Body of Individuals(BOI)
Minor (NRI – Non Repatriable)	Unlisted Company	FPI - Category I	Bank
Hindu Undivided Family (HUF) – Indian	Government Body	FPI - Category II	Pension and Retirement Fund
Hindu Undivided Family (HUF) – NRI - Repatriable	NPS Trust	FPI - Category III	Global Development Network
Hindu Undivided Family (HUF) – NRI – Non-Repatriable	Provident Fund / EPF / PF Trust	Insurance Company	Others ______
Person of Indian Origin (PIO)	Mutual Fund	Defence Establishment	Are you a Non Profit Organization (NPO) Yes No
		Society	

6. BANK ACCOUNT INFORMATION (Mandatory for receiving Redemption/IDCW payments)

Account Number ______

Account Type: Please ✓any one: Savings Current NRE NRO FCNR Others ______

Bank Name ______ Branch ______

City ______ IFSC ______ MICR ______

If you are not making the investment from the above mentioned bank account, please attach an original cancelled cheque leaf of the above account with the name of the first holder printed. Mandatory to attach proof of the Minor bank account in case of Minor Investments.

7. MODE OF HOLDING

Please ✓ Sole/1st Holder only **Any one or Survivor*** Joint

(If the mode of operation is not specified, for folios opened with more than one applicant, the mode of operation would be taken as "Any one or Survivor")

8. POWER OF ATTORNEY (PoA) HOLDER DETAILS

If your investment is being made by a Constituted Attorney on your behalf, please furnish the below details and enclose a original **notarised copy** of the Power of Attorney for registering the same:

POA Holder's Name Mr. Ms. First Name ______ Middle Name ______ Last Name ______

POA for Sole / First Applicant Second Applicant Third Applicant E-mail Id ______

PAN of POA Holder ______ KIN^ ______ Date of Birth^ D D M M Y Y Y Y

(POA Holder needs to comply with applicable KYC requirements). ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

9. DEMAT ACCOUNT INFORMATION (Mandatory for crediting units in demat account)

If you wish to hold your investment in dematerialised mode please furnish the below details and **enclose a copy of the Client Master** that you may have received from your Depository Participant. NSDL CDSL

NSDL/CDSL: Depository Participant Name ______

Depository Participant ID ______ Beneficiary A/c No. ______

Enclosed: Client Master Transaction / Statement Copy / DIS Copy

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This is only acknowledgment of receipt of application and will be processed as per the contents filled in the application, subject to realisation of cheque and furnishing of mandatory information/ documents.

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021. Please retain this slip till you receive your account statement.

10. INVESTMENT & PAYMENT INFORMATION (Please ensure that the cheque complies to the CTS 2010 standards)

1. Investment Type (✓) ☐ Lumpsum ☐ SIP ☐ Multi-Scheme Lumpsum ☐ Multi-Scheme SIP (Please fill Multi-Scheme SIP Investment Form)
☐ Micro SIP (For SIP/Micro SIP, please fill SIP Investment Form)

For Lumpsum & SIP Investment (Please issue cheque favouring scheme name)

Investment Amount (₹) ______ **DD Charges (if applicable ₹)** ______ **Net Amount (₹)** ______

Scheme Name L&T______ **Option** (✓) ☐ **Growth*** ☐ IDCW@ Payout ☐ IDCW@ Reinvestment ☐ Bonus^

IDCW@ Frequency (✓wherever applicable) ☐ Daily ☐ Weekly ☐ **Monthly*** ☐ Quarterly ☐ Annual^ ☐ Semi-Annual^

For Multi-Scheme SIP/Multi-Scheme Lumpsum (Please issue cheque favouring L&T MF Multi-Scheme SIP and L&T MF Multi Scheme Lumpsum respectively)

Total Investment Amount (₹) ______ **DD Charges (if applicable ₹)** ______ **Net Amount (₹)** ______

Scheme 1 : L&T ______ **Option** (✓) ☐ **Growth*** ☐ IDCW@ Payout ☐ IDCW@ Reinvestment ☐ Bonus^

Amount **(₹)** ______ IDCW@ Frequency ______

Scheme 2 : L&T ______ **Option** (✓) ☐ **Growth*** ☐ IDCW@ Payout ☐ IDCW@ Reinvestment ☐ Bonus^

Amount **(₹)** ______ IDCW@ Frequency ______

Scheme 3 : L&T ______ **Option** (✓) ☐ **Growth*** ☐ IDCW@ Payout ☐ IDCW@ Reinvestment ☐ Bonus^

Amount **(₹)** ______ IDCW@ Frequency ______

@Note: IDCW stands for 'Income Distribution cum Capital Withdrawal option'. The amounts can be distributed out of investors' capital (Equalization Reserve), which is part of the sale price that represents realized gains, as may be declared by the Trustees at its discretion from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations).

2. Payment Details : For Lumpsum and SIP/Multi-Scheme SIP/Multi-Scheme Lumpsum

☐ Cheque / DD / Pay Order ☐ Electronic Transfer ☐ One Time Mandate (OTM) **(for Lumpsum and SIP Investment)**

If cheque / DD / Pay Order, please fill Instrument No. ______ Instrument Date D D M M Y Y Y Y

Instrument Amount ______ DD Charges (if applicable ₹) ______ Net Amount (₹) ______

Drawn on ______ Bank Name ______ Bank Branch ______ Bank City

Account Type (✓) ☐ Saving ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others ______

If electronic transfer, please fill UTR No. ______

Amount ______ Debit Bank Name ______ Account No. ______

If One Time Mandate, Please fill, Unique Mandate Reference Number (UMRN) ______

Amount ______ Debit Bank Name ______ Account No. ______

If electronic transfer, please fill UTR No. ______

Debit Bank Name ______ Account No. ______

***Default option if not selected** **^Available in select schemes only** (Default plan / option / sub option will be applied incase of no information, ambiguity or discrepancy)

Document attached to avoid Third Party Payment rejection, wherever applicable : ☐ Banker's Certificate for DD ☐ Third Party Payment Declaration Form

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

11. KYC DETAILS (Mandatory. If left blank the application is liable to be rejected)

CATEGORIES	First Applicant/ Guardian	Second Applicant	Third Applicant
Gross Annual Income (For Individuals and Non Individuals)	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 crore ☐ > 1 Crore Net-worth in (Mandatory for Non-Individuals) (₹) ______ as on D D / M M / Y Y Y Y (Not older than 1 year)	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 crore ☐ > 1 Crore Net-worth (₹) ______ as on D D / M M / Y Y Y Y (Not older than 1 year)	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 crore ☐ > 1 Crore Net-worth (₹) ______ as on D D / M M / Y Y Y Y (Not older than 1 year)
Occupation Details (For Individuals only)	☐ Private Sector Service ☐ Retired ☐ Public Sector Service ☐ Student ☐ Government Service ☐ Forex Dealer ☐ Business ☐ Agriculturist ☐ Professional ☐ Housewife ☐ Others Please specify	☐ Private Sector Service ☐ Retired ☐ Public Sector Service ☐ Student ☐ Government Service ☐ Forex Dealer ☐ Business ☐ Agriculturist ☐ Professional ☐ Housewife ☐ Others Please specify	☐ Private Sector Service ☐ Retired ☐ Public Sector Service ☐ Student ☐ Government Service ☐ Forex Dealer ☐ Business ☐ Agriculturist ☐ Professional ☐ Housewife ☐ Others Please specify
Others (For Individuals only)	☐ I am politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable	☐ I am politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable	☐ I am politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable
Additional KYC Details for Non-Individuals			
Others (For Non-Individuals only)	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company ☐ YES ☐ NO (If No, please attach Ultimate Beneficiary Ownership Declaration mandatorily)		
	If the Entity involved/providing any of the following services: ☐ YES (Please ✓ from below) ☐ NO ☐ Gaming/Gambling/Lottery/Casino Services ☐ Foreign Exchange/ Money Changer Services ☐ Money Lending/Pawning		

12. INFORMATION REQUIRED FOR TAX REPORTING (Mandatory. If left blank the application is liable to be rejected)

Category	Sole/First Applicant/Guardian	Second Applicant	Third Applicant
Gender			
Father's Name			
Type of address given at the KRA	☐ Residential or Business	☐ Residential or Business	☐ Residential or Business
	☐ Residential	☐ Residential	☐ Residential
	☐ Business	☐ Business	☐ Business
	☐ Registered Office	☐ Registered Office	☐ Registered Office
Permissible documents are ☐ Passport ☐ Election ID Card ☐ PAN Card ☐ Govt. ID Card ☐ Driving License ☐ UIDAI Card ☐ NRE/GA Card ☐ Others			
Country/Place/City of Birth			
Country of citizenship/nationality	☐ Indian ☐ U.S. ☐ Others (Please, specify ________________)	☐ Indian ☐ U.S. ☐ Others (Please, specify ________________)	☐ Indian ☐ U.S. ☐ Others (Please, specify ________________)

I am a tax resident of India and not a resident of any other country ☐ Yes ☐ No
If No, please mandatorily enclose the FATCA & CRS Declaration for Individual Investors.
FOR NON-INDIVIDUALS: Please mandatorily enclose the FATCA, CRS & UBO Declaration for Non Individuals with all the sections filled.

13. NOMINATION DETAILS (Please note that where the sole/1st applicant is a minor, no nomination is allowed)

(Please ✓) ☐ I/We wish to Nominate ☐ I/We do not wish to Nominate

I/We do hereby nominate the person(s) named below to receive the units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to Nominee(s), and signature(s) of the Nominee(s) acknowledging receipt thereof, will be noted as be a valid discharge by the AMC/Mutual Fund/ Trustee. This instruction supercedes all previous nominations made by me/us in respect of the folio indicated above.

Particulars	1st Nominee	2nd Nominee	3rd Nominee
Name			
Date of Birth (in case nominee is a minor)	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Guardian Name (in case nominee is a minor)			
Address			
City			
State			
Country			
Pincode			
Allocation %			
Signature of Guardian (if nominee is minor) (mandatory)			
Signature of Nominee			

14. DECLARATION, CONSENT & SIGNATURES

I/We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum of the aforesaid Scheme(s) of L&T Mutual Fund including the sections on "Who cannot invest", "Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS)" ("Reporting Guidelines")" and "Important Note on Anti Money Laundering, Know-Your-Customer and Investor Protection". I/We hereby apply for allotment/purchase of Units in the Scheme(s) and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am/are authorised to make this investment and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any authority in India. I/We hereby authorise L&T Mutual Fund ("the Fund"), its Investment Manager ("LTIM") and its agents to disclose details of my investment to my bank(s)/ Fund's bank(s) and/or Distributor/Broker/Investment Adviser/any governmental or regulatory authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme(s) is being recommended to me/us. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated. I/We accept and agree to abide by the terms and conditions and privacy policy (as mentioned on HYPERLINK "www.ltfs.com/) with respect to my/our dealings with L&T Mutual Fund/its Investment Manager through various channels. In case there is any change in the information (especially pertaining to Reporting Guidelines) already provided to LTIM / Fund, I/We agree that I/We shall inform the same to LTIM/Fund within 30 days of the change. I/We authorize updation of the records (including pertaining to the Reporting Guidelines) basis the information / documents received by LTIM/Fund/Registrar and Transfer Agent ("RTA") from other SEBI Registered Intermediaries. I/We authorize LTIML/Fund/RTA, to share the information provided by me / us with other SEBI Registered Intermediaries to facilitate single submission /updation. I / We authorize LTIM/ Fund/ RTA to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from the my/our account or close or suspend my/our account(s) under intimation me/us.

I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of L&T Financial Services for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.

APPLICABLE FOR NON-ADVISORY TRANSACTIONS ONLY: I/We, hereby acknowledge and confirm that the above transaction is "Execution Only" as explained vide SEBI Circular No. CIR/IMD/DF/13/2011 dated 22 August 2011. This investment is being made notwithstanding the advice of the appropriateness/inappropriateness of the same. On such transaction(s), I am not being charged any kind of transaction fee(s) by the AMFI registered distributor. On this transaction, the distributor would be compensated by the Mutual Fund House/Asset Management Company concerned in lines with the commission rate(s)disclosed by the distributor.

***APPLICABLE FOR NRIs/PIOs/FIIs/FPIs INVESTING ON REPATRIATION BASIS ONLY:** I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

APPLICABLE FOR INVESTMENT THROUGH SEBI REGISTERED INVESTMENT ADVISER / PORTFOLIO MANAGER : I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan to the above mentioned SEBI Registered -Investment Adviser/ Portfolio Manager.

Date: D D M M Y Y Y Y

✍ Sole/First Applicant/Guardian	✍ Second Applicant	✍ Third Applicant

GENERAL INSTRUCTIONS FOR COMMON APPLICATION FORM

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while fi lling the form all applicants must sign against the corrections.

1. **Existing Unit Holders**: If you hold a folio with us, please furnish the Folio Number, Name and PAN of Sole/First Applicant in Section 1 of the Form. Your investment would be processed in the specified folio.

   Your personal information and bank account details would apply to this investment as well.

2. **New Applicants**: New Applicants need to provide their personal information in Section 2 of the Form.

   If there are more than one applicants and the mode of operation of the account is not indicated, the same would be treated as "**Any one or Survivor**".

   In case of Non-Individual Applicants :

   a. SEBI has mandated identification of Ultimate Beneficial Owners for non-individual investors other than listed companies (and majority owned subsidiary of such companies).

      If the applicant investing is not the ultimate beneficiary owner, please also fill up the Declaration For 'Ultimate Beneficiary Ownership' form to furnish details of such person(s).

   b. The Foreign Account Tax Compliance Act (FATCA) of the USA, stipulates identification of US account holders and reporting of details related to US Account Holders to the Inland Revenue Service (IRS) in the U.S. India has agreed to sign the Inter-Governmental Agreement with USA which inter alia includes reporting of holdings and transactions in specified US Accounts. Accordingly, certain additional information are being sought from investors. Non-Individual investors also need to indicate if they qualify under any of the heads of classification stipulated under FATCA.

3. **Investments by Minor Applicants**: If the Sole/First applicant is a minor i.e. below 18 years of age:

   a. Payment for investment by means of Cheque, Demand Draft or any other mode shall only be accepted from the bank account of the minor or from a joint account where the minor is one of the holders with the guardian.

   b. details of Guardian for the minor applicant needs to be provided

   c. the relationship of the Guardian with the Applicant needs to be indicated in the form

   d. proof of date of birth of the applicant and the relationship of the guardian with the applicant needs to be provided

   e. the Guardian's PAN needs to be provided

   f. the Guardian needs to comply with applicable KYC requirements.

      Please further note that joint applicants are not permitted where the Sole / First applicant is minor. Please also note that a minor cannot be a joint applicant in a folio.

4. **Investments by Attorneys**: Where the investment is being made by a Constituted Attorney on behalf of the applicant,

   a. the name and PAN of the POA Holder needs to be provided in the form

   b. a notarized copy of the Power of Attorney duly signed by the Applicant & the Constituted Attorney needs to be attached with the application. Alternatively, the original POA can be submitted which will be returned after verification.

   c. nomination on behalf of an applicant signed by an attorney would not be registered.

   d. The Fund House reserves the right to hold redemption proceeds in case the requisite documents are not submitted.

5. **Micro Investments:** As per AMFI notification and guidelines issued on July 4, 2009, SIPs or lumpsum purchases by eligible investors in a rolling 12 month period or in a financial year not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.

   a. The exemption of PAN requirement is only available to individuals.

   b. In case of investments held jointly, the first holder must not possess a PAN.

   c. Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.

   d. Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.

6. **KYC (Know Your Customer):**

   a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.

   b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill in new KYC form viz., "CKYC Form" to comply with the KYC requirements. For more information on KYC requirements, please visit our web site (www.lntmf.com) or speak to your investment adviser.

      For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, nature of entity, nature of business, address and certain details of Promoters/Partners/ Trustees/Whole Time Directors/Kartas of HUF. SEBI has appointed KYC Registration Agencies (KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirements, please visit our web site or speak to your investment adviser.

   c. If the investment is being made on behalf of a minor applicant, the Guardian needs to comply with KYC requirements.

   d. If the investment is being made by a Constituted Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

7. **Address & Contact Information**:

   a. Please furnish your full address with postal code in the Application Form. PO Box Number is not sufficient

   b. Please note that your address on our records would be automatically updated with your address appearing in the records of the KRA. If your address in the application form is different from what appears in the records of the KRA, please ensure that your latest address is updated in the records of the KRA.

   c. Please provide the **Mobile Number** and **E-Mail Address** of the Sole / First Applicant in case of of Individual Applicant and Key Contact Person in case of Non-Individual Applicants in the form. This would help us seamlessly communicate with you on your investments.

8. **Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

9. **Demat Account**: If you wish to hold your investments in the Dematerialised mode, please provide the name and DP ID of your Depository Participant and your Beneficiary Account Number. Please also attach a copy of the Client Master that you may have received from your Depository Participant.

   Please ensure that the sequence of names in the application form matches the sequence in which the Demat account is held. If the sequence of names does not match with the Demat account details provided therein,the Units will not be transferred to the Demat Account and the Units will be held in the physical mode.

   Please note that this is an additional facility offered to unit holders who wish to hold units in the dematerialised mode. This is not a mandatory requirement and unit holders can continue holding units physically with the mutual fund. If you do not wish to hold your mutual fund units in the dematerialised form, please strike off this section.

   If the demat account details in the application form are incomplete/incorrect or does not match with the records of the Depository, units would be allotted and held physically in the Fund's books and an Account Statement confirming the unit allotment would be sent to you..

10. **Bank Account**:

    a. Please furnish your complete Bank Account details to be registered against your folio for redemption / IDCW payments.

    b. If your investment cheque is not being issued from the Bank Account indicated in the Section tiltled " Bank Account Information" please attach an **original cancelled cheque leaf** of this account for verification. If the cheque leaf does not have your name pre-printed, please additionally provide an extract of your bank statement or passbook duly attested evidencing the correctness of your bank details or a letter from your bank confirming your bank details.

    c. Electronic Payments: We offer electronic payment of redemptions and IDCWs. To avail this facility please furnish :

    - **IFSC -** This is a 11 character code. If you don't see this printed on your cheque leaf, please ask your Bank for the same ;
    - **MICR Code** – This is a 9 digit number appearing next to your cheque number ;
    - **CBS Bank Account Number –** Most banks have now implemented core-banking solutions leading to a change in Account Number of customers. Please provide your latest core banking Account Number.

    d. We offer the facility to register multiple bank accounts against your investments. If you wish to avail this facility, please ask for a Multiple Bank Details Registration Form.

    e. If your units are held in the Dematerialised Form, please note that your Bank Account Details appearing in the Depository's records would be considered for redemption / IDCW payments.

11. **Nomination**: You can appoint upto a maximum of 3 nominees for your investments. Please note the following with respect to nomination.

    a. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form.

b. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

c. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time.

d. Nomination in respect of the units stands rescinded upon the transfer of units.

e. Transfer of units in favour of a nominee shall be valid discharge by the asset management company against the legal heir.

f. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.

g. On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee.

For more details on Nomination, please refer the Statement of Additional Information (SAI).

12. Investment & Payment Details:

a. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document.

b. If there is a difference in the scheme name between application form and investment cheque issued, scheme on the application form will be considered for processing.

c. If you are not investing through a Distributor, please suffix “Direct Plan” after the scheme name.

d. Your investment cheque should be crossed “Account Payee only” and drawn favouring the scheme name where the investment is in a specific scheme.

e. Please ensure that the investment cheque issued by you complies with CTS 2010 requirements stipulated by the Reserve Bank of India. The words “CTS 2010” should appear on the face of the cheque.

f. Payments made by Cash/Money Order/Postal Order, Non-MICR cheques Outstation cheques are not accepted. Post dated cheques will not be accepted except for investment made under Systematic investment Plan.

g. Third Party Payments for investments are not accepted except in the below cases :

- Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
- Custodian on behalf of an FII or Client made by Custodian.
- Payment by an AMC to its empanelled distributor on account of commission/incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.

h. ***Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.***

13. Signatures: All signatures should be in English or any other Indian Language. Thumb impressions should be from the left hand in case of males and right hand in case of females. All such thumb impressions need to be attested by a Magistrate, Notary Public or Special Executive Magistrate under his/her official seal.

14. Employees Unique Identification Number (EUIN): If you are making this investment based on an investment advise received from your distributor, please quote the Employees Unique Identification Number (EUIN) of your relationship manager in the Application Form.

If your distributor has, however, not given you any advice pertaining to the investment or your investment decision is not withstanding the advice provided by your distributor regarding inappropriateness of the scheme vis-à-vis your investment needs, the EUIN box may be left blank. In this case, please sign in the space provided in the respective section to confirm your acceptance to the declaration stated therein.

List of documents to be submitted along with the application form:

Serial	Documents	Individuals	NRIs	Investments through Constituted Attorney	Companies	Trusts	Societies	Partnership Firms
1	Signed A/c Payee cheque/draft favouring the scheme	✓	✓	✓	✓	✓	✓	✓
2	KYC acknowledgment	✓	✓	✓	✓	✓	✓	✓
3	Copy of cancelled cheque (Required where pay out bank details are different from the instrument bank)	✓	✓	✓	✓	✓	✓	✓
4	Proof of Date of Birth (For Minor investments)	✓	✓					
5	Third Party declaration where applicable	✓	✓					
6	FIRC where payment is made through a DD from NRE/ FCNR A/c where applicable		✓					
7	Client Master List (Required for crediting units in Demat Account)	✓	✓	✓	✓	✓	✓	✓
8	Notarised Power of Attorney			✓				
9	Resolution/Authorisation to invest				✓	✓	✓	✓
10	List of authorized Signatories with Specimen Signatures				✓	✓	✓	✓
11	MOA & AOA(Not required if the KYC status is VERIFIED)				✓			
12	Trust Deed					✓		
13	Bye Laws						✓	
14	Partnership Deed							✓
15	FATCA Declaration	✓	✓	✓	✓	✓	✓	✓

All documents numbered 8-14 should be originals or true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public/ Partner as applicable.

Note: For product labelling please refer to the cover page.

call 1800 4190 200 or 1800 2000 400 **email** investor.line@lntmf.co.in **www.**lntmf.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully. CL08022